

# ACE

# Automated Broker Interface

# Automated Interface

# Requirements

Statement Update

July 24, 2015



U.S. Customs and  
Border Protection

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# Table of Changes

Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
1	Dec. 5, 2014	All	Initial Release
2	Jul. 24, 2015	H1	Remove Filler and Certification Status Code fields. Add PMS Month Data field



# Statement Update - Introduction

The statement update transaction allows a filer to change the method of payment for an entry summary from a statement payment to a single payment, to move an entry summary to a future day's statement, or to delete an entry summary from an unpaid preliminary daily statement. It allows the payment type indicator, preliminary statement print date, and the periodic statement month to be changed after the preliminary daily statement has been issued, but has not been paid.

The statement update transaction may be used for entry summaries designated for either daily or periodic daily statements.

**Input:** Record Identifier H is a mandatory input record that allows filers to change statement intent information for an entry summary.

The application identifier on Record Identifier B is SU. For additional information on Record Identifier B, refer to the ABI Batch & Block Control chapter of this publication.

**Output:** Record Identifier H1 is a mandatory output record that provides entry summary details concerning the statement intent.

Record Identifier H2 is a conditional output record that provides data concerning an entry summary deleted from a statement and the change in the total amount due on a statement.

The application identifier on Record Identifier B is SQ. For additional information on Record Identifier B, refer to the ABI Batch & Block Control chapter of this publication.

Record Layout Key: Please refer to ACE ABI CATAIR Appendix Q for a description of the contents of each column of the record layouts.

## Input Record Structure Map

The following table illustrates how repeating groups are to be structured and submitted in a proprietary format Statement Update transaction to ACE.

Control		Loop	
ID	Name	Designation	Repeat
	Statement Update	M	1
H	Statement Update input transaction	M	

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional

## Record Identifier H (Input)

This is an input transaction record that provides data pertaining to the district/port code, entry filer code, entry number, payment type indicator, preliminary statement print date, client branch designation and periodic statement month.

<b>Record Identifier H (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	1A	1	M	Must always equal H.	
District/Port of Entry Summary	4N	2-5	M	A code representing the district/port of entry summary. Valid district/port codes are available through the ACE portal.	
Entry Filer Code	3AN	6-8	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Filler	1S	9	M	Space fill.	
Entry Number	8AN	10-17	M	The number assigned to the entry. For additional information on valid entry number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Payment Type Indicator	1N	18	M	A code representing the payment type indicator.	1

<b>Record Identifier H (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Preliminary Statement Print Date	6D or 6S	19-24	C	<p>This field is the date selected for this entry summary to appear on the Preliminary Daily Statement. It must be greater than the current date and it cannot be a Saturday, Sunday, or holiday. It may be prior to the date on which the statement will be paid. Transmitting a Preliminary Statement Print Date that allows timely filing and payment of the statement is the filer's responsibility. A Preliminary Statement Print Date of 90 days greater than the system date will be rejected.</p> <p>Enter the Preliminary Statement Print Date in MMDDYY (month, day, and year) format when the Payment Type Indicator - 2, 3, 5, 6, 7, or 8.</p> <p>Space fill this data field when the Payment Type Indicator = 1</p>	
Client Branch Designation	2AN	25-26	C	A code that allows a filer to designate separate statements for individual branches within the same port code. This code is mandatory for statement filers using a Client Branch Designation. Entry summaries with the same processing district/port code, preliminary statement print date, payment type indicator, and client branch designation code appear on the same statement. Use of this field at a port requires prior approval through the CBP Client Representative.	
Periodic Statement Month	2N	27-28	C	The numeric month (MM) when the entry summary will appear on the preliminary Periodic Monthly Statement. Ex: The merchandise was entered or released in the month of June, then this field will be entered as 07 (July)	
Filler	52S	29-80	M	Space fill.	

## **Note 1**

Valid Payment Type Indicator Codes are:

<b>Code</b>	<b>Description</b>
-------------	--------------------

- |          |  |
|----------|--|
| <b>1</b> | If payment of the entry summary is to be made for the entry summary transaction on an individual basis.  |
| <b>2</b> | If payments are to be batched by preliminary statement print date and entry filer code. (Daily Statement)  |
| <b>3</b> | If payments are to be batched by preliminary statement print date and importer of record number (account number). (Daily Statement)  |
| <b>5</b> | If payments are to be batched by preliminary statement print date and importer of record number for an importer with several subdivisions (suffixes). (Daily Statement)                    |
| <b>6</b> | If payments are to be batched by preliminary periodic daily statement print date and entry filer code. (Periodic Monthly Statement)  |
| <b>7</b> | If payments are to be batched by preliminary periodic daily statement print date and importer of record number (account number). (Periodic Monthly Statement)                              |
| <b>8</b> | If payments are to be batched by preliminary periodic daily statement print date and importer of record for an importer with several subdivisions (suffixes). (Periodic Monthly Statement) |



# Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Statement Update response generated by ACE.

Control		Loop	
ID	Name	Designation	Repeat
	Statement Update	M	1
H1	Statement Update Output	M	
H2	Statement Update Output	C	

## Record Identifier H1 (Output)

This is a mandatory output transaction record that provides data pertaining to the district/port code, entry filer code, entry number, error message, payment type indicator, preliminary statement print date, broker reference number, client branch designation and periodic statement month.

<b>Record Identifier H1 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 1.	
District/Port of Entry Summary	4N	3-6	M	A code representing the district/port of entry summary. Valid district/port codes are available through the ACE portal.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Entry Number	8AN	10-17	M	The number assigned to the entry. For additional information on valid entry number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Error Message Identifier	3AN	18-20	M	A code identifying the error message.	
Narrative Message	40X	21-60	M	A narrative message indicating whether the record was updated or if an error condition exists.	
Payment Type Indicator	1N	61	C	A code representing the payment type.	

<b>Record Identifier H1 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Preliminary Statement Print Date	6D or 6S	62-67	C	A numeric date in MMDDYY (month, day, and year) format representing the preliminary statement print date.	
Broker Reference Number	9X	68-76	O	An optional code provided by the participant that is not edited or changed by ACE processing.	
Client Branch Designation	2AN	77-78	C	A code that allows a filer to designate separate statements for individual branches within the same port code.	

<b>Record Identifier H1 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Desig.</b>	<b>Description</b>	<b>Note</b>
Periodic Statement Month	2N	79-80	C	The numeric month (MM) when the entry summary will appear on the preliminary Periodic Monthly Statement. Ex: The merchandise was entered or released in the month of June, then this field will be entered as 07 (July)	

## Record Identifier H2 (Output)

This is a conditional statement delete output transaction record that is returned when an entry summary is deleted from an unpaid preliminary daily or periodic daily statement or the H input record was rejected.

<b>Record Identifier H2 (Output)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	1A	1	M	Must always equal H.	
Record Type	1N	2	M	Must always equal 2.	
District/Port of Entry Summary	4N	3-6	M	A code representing the district/port of entry summary. Valid district/port codes are available through the ACE portal.	
Entry Filer Code	3AN	7-9	M	A unique code assigned by CBP to all active entry document preparers. The Entry Filer Code occupies the first three positions of a CBP entry number regardless of where the entry is filed. The Entry Filer code must be the same as the Entry Filer Code in the block control header record (Record Identifier B).	
Filler	1S	10	M	Space fill.	
Entry Number	8AN	11-18	M	The number assigned to the entry. For additional information on valid entry number formats, refer to the AE Table-1, Check Digit Computation, in the Entry Summary Create/Update section of this publication.	
Daily or Periodic Daily Statement Number	10AN	19-28	C	The number assigned to the statement.	
Total Amount Due	11N	29-39	C	A value representing the total amount due.	
Periodic Monthly Statement Number	10AN	40-49	C	The Periodic Monthly Statement Number assigned to the Periodic Daily Statement number.	
Filler	2S	50-51	M	Space fill.	
Periodic Monthly Statement Total Amount Due	11N	52-62	C	The total Periodic Monthly Statement due amount.	
Filler	18AN	63-80	M	Space fill.	